

# Delaware

*The First State*

PAGE 1

**COPY**

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION UNDER THE NAME OF "GENETICS INSTITUTE, INC." TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "GENETICS INSTITUTE, INC." TO "GENETICS INSTITUTE, LLC", FILED IN THIS OFFICE ON THE TWENTY-EIGHTH DAY OF DECEMBER, A.D. 2001, AT 9 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE FIRST DAY OF JANUARY, A.D. 2002, AT 12:01 O'CLOCK A.M.



0904549 8100V

020012014

*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 1546227

DATE: 01-08-02

## CERTIFICATE OF CONVERSION

### CONVERTING

GENETICS INSTITUTE, INC.  
(A Delaware Corporation)

TO

GENETICS INSTITUTE, LLC  
(A Delaware Limited Liability Company)

This Certificate of Conversion is being filed for the purpose of converting Genetics Institute, Inc., a Delaware corporation (the "Converting Corporation"), to a Delaware limited liability company to be named "Genetics Institute, LLC" (the "Company") pursuant to Section 266 of the Delaware General Corporation Law, 8 Del. C. §§ 101 ~~et seq.~~ (the "DGCL"), and Section 18-214 of the Delaware Limited Liability Company Act, 6 Del. C. §§ 18-101 ~~et seq.~~ (the "Delaware LLC Act").

The undersigned, as an authorized person of the Converting Corporation and the Company, does hereby certify as follows:

1. Name of Converting Corporation. The name of the Converting Corporation immediately prior to the filing of this Certificate of Conversion, was "Genetics Institute, Inc." The name of the Converting Corporation under which it was originally incorporated was "Genetics Institute."

2. Date and Jurisdiction of Incorporation of Converting Corporation. The date on which, and the jurisdiction where, the Converting Corporation was incorporated, which jurisdiction has not changed, are as follows:

Date

Jurisdiction

December 12, 1980

Delaware

3. Name of Continuing Limited Liability Company. The name of the Delaware limited liability company to which the Converting Corporation is being converted and the name set forth in the Certificate of Formation of the Company filed in accordance with Section 18-214(b) of the Delaware LLC Act is "Genetics Institute, LLC."

4. Approval of Conversion. The conversion of the Converting Corporation to the Company has been approved in accordance with the provisions of Section 266 of the DGCL and Section 18-214 of the Delaware LLC Act.

5. Effective Time. This Certificate shall be effective on January 1, 2002 at


12:01 a.m.

6. Continuation. The conversion shall constitute a continuation of the existence of the Converting Corporation, Genetics Institute, Inc., in the form of and as a Delaware limited liability company, Genetics Institute, LLC.

IN WITNESS WHEREOF, the undersigned have executed this Certificate of Conversion as of the 26<sup>th</sup> day of December, 2001.

GENETICS INSTITUTE, INC.

By: \_\_\_\_\_

  
Jack M. O'Connor  
Treasurer

Recordation Form Cover Sheet  
Patent Applications

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

To the Commissioner for Patents: Please record the attached original document:

**Certificate of the Secretary of State of Delaware showing the conversion of Genetics Institute, Inc. from a Delaware Corporation to a Delaware Limited Liability Company and changing its name to Genetics Institute, LLC.**

1. Submission Type

- ☒ New  
☐ Resubmission (Non-Recordation)  
Document ID #  
☐ Correction of PTO Error  
Reel #      Frame #  
☐ Corrective Document  
Reel #      Frame #

2. Conveyance Type:

- ☐ Assignment      ☐ License      ☐ Security Agreement  
☐ Other      ☒ Change of Name      ☐ Merger

3. Conveying party(ies):

Genetics Institute, Inc.

Additional name(s) of conveying party(ies) attached:

- ☐ Yes  
☒ No

Execution Date: January 1, 2002

4. Receiving party(ies):

**GENETICS INSTITUTE, LLC**  
**87 Cambridge Park Drive**  
**Cambridge, MA 02140**

Additional name(s) and address(es) attached:

- ☐ Yes  
☒ No

5. Correspondent address:

Kay E. Brady  
Wyeth  
Patent Law Department  
Five Giralda Farms  
Madison, NJ 07940-0874  
Tel. No. (973) 683-2130

6. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is  
A. Patent Application Number(s): **See Attached Schedule**  
B. Patent Number(s):  
C. Patent Cooperation Treaty (PCT) Application Number(s) (only if a U.S. Application Number has not been assigned):  
Additional numbers attached:  
☒ Yes  
☐ No
7. Pages: Enter the total number of pages of the attached conveyance document including any attachments: 9
8. Total number of properties involved: 137
9. Total Fee (37 CFR 3.41): \$5,480.00  
☐ Enclosed  
☒ Authorized to be charged to deposit account.
10. Deposit Account Number: 07-1060  
Authorization is given to charge any additional fees to deposit account.  
(Attach duplicate copy of this page if paying by deposit account).
11. Statement and Signature:  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

3-28-02  
Date

Egon E Berg  
Egon E. Berg  
Assistant Secretary  
Reg. No. 21,117

Customer No. 25291

**Certificate of Mailing 37 CFR §1.10**

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV09013182445 addressed to the Commissioner for Patents, Box Assignments, Washington, DC 20231

L:\PATENTS\Brady\Genetics\Genetics Name Change U.S. Recordal.doc

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WYETH

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UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

3/7/02  
Computer User

**COPY**

JUNE 06, 2002

PTAS

Commissioner for Trademarks  
Arlington, VA 22202-3513  
www.uspto.gov

WYETH  
KAY E. BRADY  
PATENT LAW DEPARTMENT  
FIVE GIRALDA FARMS  
MADISON, NEW JERSEY 07940-0874



\*102056299A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/29/2002

REEL/FRAME: 012772/0631  
NUMBER OF PAGES: 10

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
GENETICS INSTITUTE, INC.

DOC DATE: 01/01/2002

ASSIGNEE:  
GENETICS INSTITUTE, LLC  
87 CAMBRIDGE PARK DRIVE  
CAMBRIDGE, MASSACHUSETTS 02140

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PATENT NUMBER:

FILING DATE: 05/17/2001  
ISSUE DATE:

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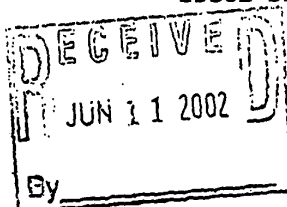
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FILING DATE: 05/19/1995  
ISSUE DATE:



012772/0631 PAGE 2

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012772/0631 PAGE 3

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FILING DATE: 08/23/1996  
ISSUE DATE:

012772/0631 PAGE 8

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PATENT NUMBER:FILING DATE: 12/16/1998  
ISSUE DATE:SERIAL NUMBER: 09266105  
PATENT NUMBER:FILING DATE: 03/10/1999  
ISSUE DATE:SERIAL NUMBER: 09650341  
PATENT NUMBER:FILING DATE: 08/28/2000  
ISSUE DATE:SERIAL NUMBER: 09306111  
PATENT NUMBER:FILING DATE: 05/06/1999  
ISSUE DATE:ALLYSON PURNELL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

**COPY**

GI 5229-

**DECLARATION and POWER OF ATTORNEY**

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**USE OF IL-12 AND IL-12 ANTAGONISTS IN THE TREATMENT OF  
AUTOIMMUNE DISEASES**

the specification of which  
(check one)

is attached hereto.

was filed on March 14, 1994 as  
Application Serial No. 08/212,619  
and was amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information of which I am aware which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Prior Foreign Application(s)**

<u>Number</u>	<u>Country</u>	<u>Filing Date</u>	<u>Priority Claimed</u>
_____	_____	_____	YES/NO
_____	_____	_____	YES/NO

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the

first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status
_____	_____	_____
_____	_____	_____

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Bruce M. Eisen, Reg. No. 22,847  
Thomas J. DesRosier, Reg. No. 30,168  
Ellen J. Kapinos, Reg. No. 32,245  
Mary Bak, Reg. No. 31,215  
M. C. Meinert, Reg. No. 31,544  
Steven R. Lazar, Reg. No. 32,618  
Scott A. Brown, Reg. No. 32,724

Address all telephone calls to Scott A. Brown at Telephone No. (617) 498-8224. Address all correspondence to LEGAL AFFAIRS, GENETICS INSTITUTE, INC., 87 CambridgePark Drive, Cambridge, Massachusetts 02140.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor John Leonard

Inventor's signature *John P Leonard* 5/5/94  
Date

Residence 111 Heather Circle, Auburn, New Hampshire 03032

Citizenship Ireland

Post Office Address 111 Heather Circle, Auburn, New Hampshire 03032

Full name of second joint inventor Samuel Goldman

Inventor's signature Samuel Goldman Date 5/5/94

Residence 9 Mohawk Drive, Acton, Massachusetts 01720

Citizenship United States

Post Office Address 9 Mohawk Drive, Acton, Massachusetts 01720

Full name of third joint inventor Richard O'Hara, Jr.

Inventor's signature R. O'Hara Jr. Date 5/5/94

Residence 65 Tyler Street, Quincy, Massachusetts 02170

Citizenship United States

Post Office Address 65 Tyler Street, Quincy, Massachusetts 02170





FORM PTO-1595

1-3-92

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

## RECORDATION FORM COVER SHEET

## PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1 Name of conveying party(ies):

John P. LEONARD  
111 Heather Circle  
Auburn, New Hampshire 03032  
U.S.A.

Additional names(s) of conveying party(ies) attached? ☒ Yes ☐ No

3 Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: December 20 and 21, 1994

2 Name and address of receiving party(ies):

Name: Genetics Institute, Inc.

Internal Address: Legal Affairs

Street Address: 87 CambridgePark Drive

City Cambridge State MA ZIP 02140

Additional names(s) & address(es) attached? ☐ Yes ☒ No

4 Application number(s) or patent number(s): 08/212,629

If this document is being filed together with a new application, the execution date of the application is: N/A

A. Patent Application No.(s) 08/212,629

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5 Name and address of party to whom correspondence concerning document should be mailed:

LEGAL AFFAIRS  
GENETICS INSTITUTE, INC.  
87 CAMBRIDGE PARK DRIVE  
CAMBRIDGE, MASSACHUSETTS 02140

6 Total number of applications and patents involved: [ 1 ]

7. Total fee (37CFR3.41).....\$ 40.00

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9 Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

SCOTT A. BROWN  
Name of the Person Signing

*Scott A. Brown*  
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*Scott A. Brown*

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USSN 08/212,629  
Leonard, et al.

1. Name of conveying party(ies) (CONT.):

Samuel GOLDMAN  
9 Mohawk Drive  
Acton, Massachusetts 01720  
U.S.A.

Richard O'HARA, Jr.  
65 Tyler Street  
Quincy, Massachusetts 02170  
U.S.A.

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GI 5229  
USSN 08/212,629  
Filing Date 3/14/94  
Group Art Unit 1806

## ASSIGNMENT

In consideration of good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned,

**John P. LEONARD, Samuel GOLDMAN and Richard O'HARA, Jr.**

residing at:

111 Heather Circle, Auburn, New Hampshire U. S.A. 03032  
9 Mohawk Drive, Acton, Massachusetts U.S.A. 01720  
65 Tyler Street, Quincy, Massachusetts U.S.A. 02170

, respectively,

hereby sell, assign and transfer to GENETICS INSTITUTE, INC., a corporation of the State of Delaware having a place of business at 87 CambridgePark Drive, Cambridge, in the County of Middlesex, and the State of Massachusetts, United States of America, their successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned and is entitled:

### USE OF IL-12 AND IL-12 ANTAGONISTS IN THE TREATMENT OF AUTOIMMUNE DISEASES

(GI Case No. 5229) and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for United States Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;

Authorized and request the Commissioner of Patents of the United States to issue to said Assignees, the corporations above named, their successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignees may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under

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any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignees, their successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignees, their successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings, and generally do everything possible which said Assignees, their successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignees, their successors, assigns and legal representatives; and

COVENANT with said Assignees, their successors, assigns, and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

12-21-94  
Date

John P. Leonard  
JOHN P. LEONARD

12-21-94  
Date

Samuel Goldman  
SAMUEL GOLDMAN

20 Dec 94  
Date

Richard O'Hara, Jr.  
RICHARD O'HARA, JR.

REEL 7269 FRAME 796

GI 5229 USSN 08/212,629 Filing Date March 14, 1994 Group Art Unit 1806

Commonwealth of Massachusetts)

County of Middlesex ) ss.

Subscribed and sworn to before me by the above-named JOHN P. LEONARD this 21  
day of December, 1994

ALEXANDRIA M.L. NIEDZWIECKI  
Notary Public  
My Commission Expires July 1, 1999

Alexandria M.L. Niedzwiecki  
Notary Public

My Commission Expires: 7/1/99

Commonwealth of Massachusetts)

County of Middlesex ) ss.

Subscribed and sworn to before me by the above-named SAMUEL GOLDMAN this  
26 day of December, 1994.

ALEXANDRIA M.L. NIEDZWIECKI  
Notary Public  
My Commission Expires July 1, 1999

Alexandria M.L. Niedzwiecki  
Notary Public

My Commission Expires: 7/1/99

Commonwealth of Massachusetts)

County of Middlesex ) ss.

Subscribed and sworn to before me by the above-named RICHARD O'HARA, JR. this  
20 day of December, 1994.

ALEXANDRIA M.L. NIEDZWIECKI  
Notary Public  
My Commission Expires July 1, 1999

Alexandria M.L. Niedzwiecki  
Notary Public

My Commission Expires: 7/1/99

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